DEC 27 2006
PATENT & TRADEMARK OFFICE

PTO/SB/08 Equivalent

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 1

Application No.	10/802,153
Filing Date	March 17, 2004
First Named Inventor	Zhi-Jian Yu
Art Unit	1618
Examiner	Kim, V.Y.
Attorney Docket No.	AMOINC.001CP1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number *Number - Kind Code (if known)* Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
/LS/	1.	2003/0185878	10-2003	Hoshino et al.	
/LS/	2.	2003/0086888	05-2003	LeGrow et al.	
/LS/	3.	6,309,663	10-2001	Patel et al.	
/LS/	4.	6,506,394	01-2003	Yahlaoul et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document *Country Code-Number-Kind Code* Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T[1]

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[1]
/LS/	5.	Definition "Emulsion", On-line Medical Dictionary. 1 page.	

3211222/bab/121406

Examiner Signature	/Leah Schlientz/	Date Considered	05/30/2007

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	10802153
	Filing Date	2004-03-17
	First Named Inventor	Zhi-Jian Yu
	Art Unit	1751
	Examiner Name	Unknown
	Attorney Docket Number	27553CIP

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
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Examiner Signature	/Leah Schlientz/	Date Considered	05/30/2007

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PTO/SB/08a (08-03)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10802153
Filing Date	2004-03-17
First Named Inventor	Zhi-Jian Yu
Art Unit	1751
Examiner Name	Unknown
Attorney Docket Number	27553CIP

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/LS/	1	6007826		1999-12-28	Benita et al.	
/LS/	2	6656460		2003-12-02	Benita et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code[1]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1					

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Examiner Initial*	Cite No	Foreign Document Number[3]	Country Code[2] i	Kind Code[4]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T[5]
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	10802153
	Filing Date	2004-03-17
	First Named Inventor	Zhi-Jian Yu
	Art Unit	1751
	Examiner Name	Unknown
	Attorney Docket Number	27553CIP

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T5
	1		☐

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Examiner Signature	/Leah Schlientz/	Date Considered	05/30/2007

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[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

JUL 28 2006
PATENT & TRADEMARK OFFICE

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application No.: 10/802,153
Filing Date: March 17, 2004
First Named Inventor: Zhi-Jian Yu
Art Unit: 1751
Examiner's Name: Unknown
Attorney Docket Number: 27553CIP

U.S. PATENT DOCUMENTS				
EXAMINER'S INITIAL		DOCUMENT NUMBER	DATE	NAME

FOREIGN PATENT DOCUMENTS				
EXAMINER'S INITIAL		DOCUMENT NUMBER	DATE	COUNTRY

EXAMINER'S INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
/LS/		Saettone et al. (2001). *Ophthalmic emulsions and suspensions.* Journal of Toxicology, 20(2&3), pp. 183-201.

EXAMINER	/Leah Schlientz/	DATE CONSIDERED	05/30/2007

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		
	Application No.	10/802,153
	Filing Date	March 17, 2004
	First Named Inventor	Zhi-Jian Yu
	Art Unit	1751
(Multiple sheets used when necessary)	Examiner	Unassigned
SHEET 1 OF 1	Attorney Docket No.	AMOINC.001CP1

PTO JUL 19 2006 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number *Number - Kind Code (if known)* Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
/LS/	1.	4,818,614	04-1989	Fukui et al.	
	2.	6,121,313	09-2000	Parikh et al.	
	3.	6,531,139	03-11-2003	Gao et al.	
	4.	6,599,527	07-2003	Leigh et al.	
	5.	6,979,456	12-2005	Parikh et al.	
	6.	2003/0027858 A1	02-06-2003	Lambert et al.	
	7.	2004/0137079	07-15-2004	Cook et al.	
	8.	2005/0196370	09-2005	Yu et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document *Country Code-Number-Kind Code* Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T[1]
/LS/	9.	EP 1 142 566 A1	10-10-2001	Europe		
	10.	WO 91/12808	09-05-1991	WIPO		
	11.	WO 2004/082625	09-30-2004	WIPO		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[1]
/LS/	12.	Schaumberg et al. (2003) Prevalence of dry eye syndrome among US women. Am J Ophthalmol 136(2):318-26.	
/LS/	13.	Saettone et al. (2001) Ophthalmic emulsions and suspensions. J. Toxicol.-Cut. & Ocular Toxicol. 20(2&3):183-201.	

2645353/bab/060106

Examiner Signature	/Leah Schlientz/	Date Considered	05/30/2007

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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application No.: 10/802,153
Filing Date: March 17, 2004
First Named Inventor: Zhi-Jian Yu
Art Unit: 1751
Examiner's Name: Unknown
Attorney Docket Number: 27553CIP

U.S. PATENT DOCUMENTS

EXAMINER'S INITIAL		DOCUMENT NUMBER	DATE	NAME
/LS/		2004/0137079	7/15/2004	Cook et al.
/LS/		2004/0191284	9/30/2004	Yu et al.

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIAL		DOCUMENT NUMBER	DATE	COUNTRY
/LS/		WO 91/12808	5.9.1991	WIPO
/LS/		WO 2004/082625	30.9.2004	WIPO
/LS/		EP 1 142 566 A1	10.10.2001	Europe

EXAMINER'S INITIAL		**OTHER DOCUMENTS** (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

EXAMINER	/Leah Schlientz/	DATE CONSIDERED	05/30/2007

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ~~AMINNC.001CP1~~ AMOINC.001CP1	APPLICATION NO. 10/802,153
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	APPLICANT Yu, et al.	
	FILING DATE March 17, 2004	GROUP 1751

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
/LS/		6,531,139	03/11/03	Gao, et al.			
/LS/		US 2003/0027858 A1	02/06/03	Lambert, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/LS/		WO 94/05298	03/17/94	WIPO				
		WO 99/56727	11/11/99	WIPO				
		WO 02/056843	07/25/02	WIPO				
		WO 03/041632	05/22/03	WIPO				
		WO 03/063826	08/07/03	WIPO				

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
/LS/		International Search Report completed on 9/23/04 and issued to a related foreign application.

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AMOINC.001CP1	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT Yu, et al.	
(USE SEVERAL SHEETS IF NECESSARY)	FILING DATE Herewith	GROUP Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
/LS/		6,689,371	02/10/04	Simonnet, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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